

CHANGE OF REGISTRATION GUIDELINES

The following are guidelines to assist you in changing the registration on your account. If you have any questions or need additional registration instructions, please call a Seafarer Funds representative at 1-855-732-9220.

In most cases, you will need to obtain a Medallion Signature Guarantee to process the registration change. A Medallion Signature Guarantee is most easily obtained from an institution with which you have a financial relationship. Examples of institutions that provide signature guarantees are Commercial Banks, Trust Companies, Broker/Dealers, Savings and Loans, and Credit Unions. A notary public is not an acceptable guarantor.

Even in cases where a Medallion Signature Guarantee is not required for the registration change, any redemptions over the threshold noted in the fund prospectus will still require a Medallion Signature Guarantee.

If redeeming the entire account, please include:

- A Form W-9, instead of a new account application
- A copy of the requestor's government issued ID, such as a Driver's License or passport
- Redemption instructions on letter of instruction

SECTION 1: Individual

Type of account	Re-register to	We require
Individual	Joint Tenants with Rights of Survivorship	<ul style="list-style-type: none"> ▪ Medallion Signature Guaranteed letter of instruction signed by current account owner ▪ New Entity Account Application
Individual	Trust	<ul style="list-style-type: none"> ▪ Medallion Signature Guaranteed letter of instruction signed by current account owner ▪ New Entity Account Application signed by all acting Trustees ▪ A copy of the Trust document
Individual – <i>Death of Individual</i>	Estate	<ul style="list-style-type: none"> ▪ Medallion Signature Guaranteed letter of instruction signed by the Personal Representative or Executor of the Estate ▪ Certified Letters of Testamentary appointing the Personal Representative or Executor of the Estate ▪ Copy of the death certificate ▪ New Entity Account Application
Individual Retirement Account (IRA) – <i>Death of Individual</i>	Spouse's IRA	<ul style="list-style-type: none"> ▪ Medallion Signature Guaranteed letter of instruction signed by the surviving spouse ▪ Certified copy of the death certificate ▪ New IRA account application, if applicable ▪ IRA Distribution Form in order to satisfy the most recent required minimum distribution (RMD), if applicable
Individual Retirement Account (IRA) – <i>Death of Individual</i>	Beneficiary IRA	<ul style="list-style-type: none"> ▪ Medallion Signature Guaranteed letter of instruction signed by the beneficiary ▪ Certified copy of the death certificate ▪ New IRA account application ▪ IRA Distribution Form in order to satisfy the most recent required minimum distribution (RMD), if applicable

SECTION 2: Transfer on Death (TOD)

Type of account	Re-register to	We require
Individual – <i>Death of Individual</i>	Individual	<ul style="list-style-type: none"> ▪ Medallion Signature Guaranteed letter of instruction signed by the beneficiary ▪ Certified copy of the death certificate ▪ New account application ▪ If there are multiple beneficiaries, each beneficial owner needs to provide their own Medallion Signature Guaranteed letter of instruction and include the following statement: "There are no known disputes as to the persons entitled to a distribution under the nonprobate transfer, or the amounts to be distributed to each person, and no known claims affecting the distribution requested."
Individual – <i>Death of Individual</i>	Trust/Estate	<ul style="list-style-type: none"> ▪ Medallion Signature Guaranteed letter of instruction signed in capacity by the executor or acting trustee(s), as applicable ▪ Certified copy of the death certificate ▪ New Entity Account Application signed in capacity by all acting representatives ▪ Copy of the trust or estate documents

SECTION 3: Joint

Type of account	Re-register to	We require
Joint Tenants with Rights of Survivorship – <i>All owners living</i>	Individual, or Joint Tenants with Rights of Survivorship	<ul style="list-style-type: none">Medallion Signature Guaranteed letter of instruction signed by all current owners of the accountNew account application
Joint Tenants with Rights of Survivorship – <i>When due to divorce</i>	Individual, or Joint Tenants with Rights of Survivorship	<ul style="list-style-type: none">Medallion Signature Guaranteed letter of instruction signed by all current owners of the accountNew account application(s)
Joint Tenants with Rights of Survivorship – <i>Death of one owner</i>	Individual, for surviving owner	<ul style="list-style-type: none">Letter of instruction signed by the surviving ownerCertified copy of the death certificateNew account application

SECTION 4: Trust

Type of account	Re-register to	We require
Trust – <i>Death of a Trustee</i>	Trust – Successor Trustee(s)	<ul style="list-style-type: none">Medallion Signature Guaranteed letter of instruction signed by all surviving TrusteesCertified copy of the death certificateCopy of the trust showing the term of the trust by which a successor trustee is appointed at the death of a trusteeNew Entity Account Application signed by all acting Trustees

SECTION 5: UGMA/UTMA (Uniform Gift/Transfer to Minor Account)

Type of account	Re-register to	We require
UGMA/UTMA – <i>Minor reaches the age at which custodianship terminates</i>	Individual	<ul style="list-style-type: none">Letter of instruction signed by the former minor stating that he/she has reached the age at which custodianship terminatesNew account application, completed by the former minorCopy of the driver's license for the former minor
UGMA/UTMA – <i>Death of custodian</i>	UGMA/UTMA – <i>New Appointed Custodian</i>	<ul style="list-style-type: none">Medallion Signature Guaranteed letter of instruction signed by new appointed custodianCertified copy of the death certificate for the former custodianProof of successor's capacityNew account application
UGMA/UTMA – <i>Resignation of custodian</i>	UGMA/UTMA – <i>New Appointed Custodian</i>	<ul style="list-style-type: none">Medallion Signature Guaranteed letter of instruction signed by the current custodian verifying their resignationNew account application

SECTION 6: Name Change

Type of account	Re-register to	We require
Name Change	New Name	<ul style="list-style-type: none">Medallion Signature Guaranteed letter of instruction clearly requesting the change of name from former name to current name.

For questions regarding registration changes, please contact an Investor Services Representative at 1-855-732-9220.

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